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Ionic conduction, structural and optical properties of LiCoO₂ compoundIchrak ben slima^a, Karim karoui^{a,b} and Abdallah Ben Rhaïem^a^aLaboratory of spectroscopic and optical characterizations of materials, Faculty of Sciences of Sfax, B.P. 1171, 3000 Sfax, University of Sfax, Tunisia.^bGreman UMR 7347-CNRS, CEA, INSACVL, University of Tours, Blois, France*Corresponding author:* karouikarim36@yahoo.com*Tel:*0021625648756**Abstract**

LiCoO₂ was synthesized by the conventional solid-state reaction. The x-ray diffraction indicates that the LiCoO₂ sample crystallize in hexagonal system with R-3m space group. The optical properties were studied. The band gap energy was established and seems to be equal to 2.15eV which confirms the semiconductor character of this compound. The study of the refractive index and the extinction coefficient proved the connection between the sample transparency and a low optical absorption loss. Furthermore, thermal analysis shows an exothermic peak at 335K which was proven by studying the temperature dependence of the electrical properties. The equivalent circuit was chosen and the electrical conductivity was analyzed. The AC conductivities values (10^{-4} cm^{-1}) confirm the semiconductor character and the ionic conduction type. The conduction mechanism was attributed to CBH for the first phase and NSPT for the second one. Additionally, electrical modulus investigation reveals the existence of grain boundary and grain effects.

Keywords: Gap energy, refractive index, thermal properties, AC conductivity, CBH/NSPT model.

I. Introduction

Since their commercialization, lithium-ion batteries continuous to receive attention owing to their outstanding performance, such as, high energy density, high specific energy, efficiency, long life, and specific power [1-2]. Divers' electrode materials were investigated including layered transition metal oxides Li_xMO₂, where M is a transition metal, among them, LiCoO₂, LiMnO₂, LiFeO₂, LiCuO₂, LiNiO₂... [3]. However, the most marketable electrode is LiCoO₂ thanks to its good cycling stability and easy production. LiCoO₂ batteries have theoretical specific capacity and energy density equal to 274 mAh/g and 1070

Wh/kg respectively, while, experimentally, they deliver only half of its theoretical capacity ($\approx 140\text{mAh/g}$) resulting from structural considerations [4-6].

Moreover, layered transition metal oxides Li_xMO_2 are characterized by their crystalline structure as denoted by Delmas where the alkaline Li occupies an octahedral or prismatic site in 'O' or 'P' structure, respectively [7]. For example, LiCoO_2 compound has a layered structure with O3 type (ABCABC). The letter O means that Li^+ ions are free and occupy octahedral sites and the number 3 indicates the sheets number of transition metal in a repeated unit of stacking. Furthermore, LiCoO_2 is formed by alternating sheets of CoO_2 with LiO_2 . CoO_2 sheets are formed by CoO_6 octahedra sharing faces [8]. These special crystalline structure and the exciting electrochemical results of these materials encourage researchers to study other physical properties for Li_xMO_2 such as optical and electrical properties. For instance, earlier studies on LiCuO_2 sample prove that the resistivity of this sample depends on the temperature variation and reaches $10^3 \Omega\text{cm}$ at 300K. The activation energies of this compound vary between 0.1-0.2 eV. This result confirms the semi-conductive behavior of the LiCuO_2 sample [9-10]. Moreover, investigation on LiNiO_2 indicates that this compound is a small insulator with gap energy equal to 0.4 eV [11]. The activation energies, obtained from electrical analysis, were found to be 0.14; 0.18 and 0.19 eV for $z=0.04$; 0.08 and 0.12 respectively, in the $\text{Li}_{1-z}\text{Ni}_{1+z}\text{O}_2$ materials [12]. As well, for LiFeO_2 , it was proved that this sample is semiconductor with band gap energy equal to 2.4 eV and the activation energy of the temperature dependant conductivity seems to be equal 0.39 eV [13-14]. Recently, a study conducted by R. Bagtache and coworkers, tackling the physical properties of LiMnO_2 , reveals that LiMnO_2 is a semiconductor material with 1.92 eV gap energy value and a conduction process thermally activated with activation energy equal to 0.68 eV [15]. Therefore, from one hand, based on this literary study of alternative LiMO_2 , from the other hand, based on the electronic properties of LiCoO_2 sample which are derived from the electronic configuration of its constituents, where the valence electron states are as following Li ($2s^1$), Co ($3d^7, 4s^2$) and O ($2s^2, 2p^4$), we can assume that LiCoO_2 sample seems to be attractive as semiconductor. Furthermore, the presences of Li^+ ions free permit the Li^+ ions to be faster which enhances the ionic conductivity and the presence of $\text{Co}^{3+/4+}$ in the double valence states estimate a good electronic conductivity [16]. To the best of our knowledge, the study of the optical constants and the temperature dependence of the electrical and dielectric properties as well as the investigation of the conduction mechanism of LiCoO_2 compound are not well attempted.

From these perspectives, the aim of the present investigation is to study the structural, optical constants, including refractive index and extinction coefficient, and the temperature dependences of the electrical and dielectric properties of the LiCoO₂ sample in order to show the higher performance of this material in the semiconductor application domain.

II. Material and method

We used the conventional solid-state reaction to synthesis the LiCoO₂ compound. The Li₂CO₃ (Sigma Aldrich, 99%) and Co₃O₄ (Sigma Aldrich, 99%) were mixed in proportional ratios. The obtained powder was submitted to a first thermal treatment at 623 K for 24h in order to release the CO₂ gas. Then we verified the gas emission by checking the loss of the weight. Finally, the sintering process was at 1023 K for 16 h.

The sample purity was done using the X-Ray Diffraction on powder by 'Siemens D5000' at room temperature with Cu K_α radiation ($\lambda = 1.5406 \text{ \AA}$, $10^\circ \leq 2\theta \leq 80^\circ$).

Furthermore, the UV-visible spectroscopy, using 'UV-3101PC' allows investigation optical properties in the wave length domain [200nm-800nm].

Additionally, thermal study was investigated by Differential Scanning Calorimetry (DSC) in the temperature range [300K-550K] with a heating rate 5°/min.

Finally, electrical measurements were carried out on a pellet with 8 mm in diameter and 1 mm in thickness, using the complex impedance spectroscopy 'Solartron1260' in the frequency range [10⁻¹Hz-10⁶Hz] at various temperatures. To obtain the good contact, pellets were covered on the opposite surfaces with thin layer of silver and mounted between two copper electrodes in a special holder. The powder was pressed by a 5 t/cm² pressure in order to acquire a circular disc with 8 mm in diameter and 1 mm in thickness.

III. Result and discussion

III.1. Structural characterization: X-ray Diffraction

XRD allows the identification of the purity of the synthesized compound. The XRD pattern of LiCoO₂ is highlighted in Fig.1. The FullProf software was used, by the Rietveld method, for the structural refinements of the prepared sample. It proves that the LiCoO₂ sample crystallize in hexagonal system with R-3m space group. The unit cell parameters and the fit criteria are reported in table 1. However, we found a trace of Co₃O₄ corresponding to small peak appearing in the XRD diffractogram.

The crystalline structure of the LiCoO₂ sample, using Diamond software, is shown in [fig.2](#). This structure is characterized by alternating sheets of CoO₂ layers with layers of free Li⁺ ion. The sheets arrangement suggests that this crystalline structure is of O3. Furthermore, CoO₂ sheets are composed by CoO₆ octahedra sharing faces between them. The interatomic distances Co-O equals 2.009 Å and Li-O equal 2Å. The atomic positions are reported in [table 2](#). Although, the advantage of this structure is that the lithium ions are free; this facilitates their mobility and enhances, therefore, the electrical conductivity.

III.2. Optical properties: UV-visible spectroscopy

In order to investigate the optical properties, we use the UV-visible spectroscopy in absorbance mode. [Fig.3](#) displays the absorbance spectrum of the LiCoO₂ sample at room temperature. This spectrum is characterized by a strong absorption in the visible domain. The high absorption in the visible range is a favorable criterion for several activity fields such as optoelectronic, photo-catalysis materials and photovoltaic solar cells which allows the LiCoO₂ sample to be a candidate for such applications [17-19]. Furthermore, we notice the presence of two absorption peaks. The first peak at 222nm had low intensity and can be attributed to the transition between energy levels in the same bands or energy levels in the band gap [20]. The second peak at 350 nm present a maximum of intensity and corresponds to the transition from the valence band to the conduction band [21-22]. Accordingly, we can get idea about the width of the band gap by direct extrapolation of the absorbance spectra as illustrated in [fig.3](#) where the intercept of the band corresponding to the transition valence band to the conduction band with the energy axis is equal to 569nm which reflect a gap energy around 2.17eV [23, 24].

In order to confirm the estimated value of the optical gap energy obtained above, the model proposed by Tauc was used and the E_g is related to the absorption coefficient α by the following relationship [25]:

$$\alpha = B(h\nu - E_g)^r \quad (1)$$

where $\alpha = 2,303 \cdot A/e$ [26], B is a constant, E_g is the optical gap, r is a constant describing the nature of the optical transition between the valence band and the conduction band. In our case, the most intense peak in the absorbance spectrum corresponding to the transition from the valence band to the conduction band is located in the ultra-violet domain, which proves, based on literature study that the transition is of the direct type [27].

The value of the gap energy can be defined from the intersection of the extrapolation of the linear part of $(\sqrt{h\nu})^2$ with the energy axis. The gap energy of the LiCoO₂ compound is equal to 2.15 eV which allows classifying this material within the family of semiconductor (fig.4). This gap energy value confirms the one obtained early using the absorbance spectra. The gap energy of LiCoO₂ is close to the gap energy of LiFeO₂ which equal to 2.4 eV [13].

The extinction coefficient k is calculated based on the following relation [28]:

$$k = \frac{\alpha +}{4\pi} \quad (2)$$

The evolution of the extinction coefficient k vs. the wavelength λ is shown in Fig.5. It displays small value between 10^{-7} and 10^{-6} which confirms that the LiCoO₂ compound possess a low optical absorption loss when the incident light propagates through the crystal which confirms the sample's transparency [29-30].

The refractive index n is calculated based on reflectivity R measurements following [28]:

$$n = \frac{1 + \sqrt{R}}{1 - \sqrt{R}} \quad (3)$$

The refractive index varies between 2 and 2.8 (fig.6) and its evolution vs. the wavelength λ is used to evaluate the Cauchy's constants (n_0 , A and B).

The Cauchy's formula of refractive index n is [31]:

$$n = n_0 + \frac{A}{\lambda^2} + \frac{B}{\lambda^4} \quad (4)$$

The computed values of the Cauchy's constants are determined by fitting the curve of n (λ) are the following: $n_0=2.1567$; $A= -0.0148 \mu\text{m}^{-2}$ and $B= 0.0067\mu\text{m}^{-4}$. Thus, in the transparency region of the material, the coefficient B is negligible. Furthermore, these values are close to those previously reported in literature of the NaCoPO₄ sample, which are the following:

✓ -NaCoPO₄ ($n_0=2.672$; $A= -0.028\mu\text{m}^{-2}$; $B=0.038\mu\text{m}^{-4}$); ✕ -NaCoPO₄ ($n_0=2.276$; $A=-0.653\mu\text{m}^{-2}$; $B=0.044\mu\text{m}^{-4}$) and ■ -NaCoPO₄ ($n_0=2.116$; $A=-0.352\mu\text{m}^{-2}$; $B=0.086\mu\text{m}^{-4}$) [31].

These results demonstrate that the wavelength λ has no effect on the index n in this material.

III.3. Differential Scanning Calorimetry (DSC)

In order to study the phase transitions existing in the material, differential scanning calorimetry (DSC) was used. The thermogram of the LiCoO₂ compound, shown in fig.7, is in the temperature range from 300K to 550K and with a heating rate of 5°/min. Two peaks are present. From one side, the first peak is exothermic and intense observed at 335K, and

provided the enthalpy $\Delta H = 11.31$ kJ/mol and the entropy $\Delta S = 33.76$ J/(mol K). This allows classifying this transition as an order–disorder type [32]. From the other side, the second peak is endothermic observed at 500K. It may be due to the decomposition of the material. However, the transition at 335K could be detected by the electrical properties when varying temperature.

III.4. Electrical properties

III.4.1. electrical impedance spectroscopy

Fig.8 displays the Nyquist diagrams of the compound LiCoO_2 . These spectra are distinguished by the existence of two distinct frequency domains: high, and low frequencies, according to the grain effect and the grain boundary effect, respectively. Furthermore, the conduction process in LiCoO_2 sample is thermally activated which is indicated by the drop in the $-Z''$ vs. Z' of the arc-circle of Nyquist spectrum and the shift towards higher frequencies while temperature rises.

To better understand the different contributions in this material we have tried to find an equivalent circuit that describes the behavior of this material using Z-View software. The choice of the equivalent circuit was based on the best fit between the experimental and theoretical curves with the smallest errors. The good fit between the experimental and theoretical data was found using a circuit formed by two cells connected in series: the first cell is composed of a resistance R, a capacitor C and a fractal capacitor CPE connected in parallel, while the second cell is composed of a resistance R and a fractal capacitor CPE connected in parallel (Fig.8). The all parameters of resistance and capacity of the grain and grain boundaries response are grouped in the table 4.

The resistance values deduced from the equivalent circuit at each temperature are used to calculate the conductivity of the grain based on the following relationship [33]:

$$\sigma_g = \frac{e}{RS} \quad (5)$$

Where: e is the thickness of the pellet, S is the surface area of the pellet and R is the resistance.

The variation of grain conductivity as a function of the inverse of temperature is illustrated in Fig.9. This variation follows Arrhenius law:

$$\sigma_g = \sigma_0 \exp\left(-\frac{E_a}{K_B T}\right) \quad (6)$$

The evolution of grain conductivity shows a discontinuity at temperature $T = 338\text{K}$. This is confirmed by the result of the differential scanning calorimetry analysis (DSC). The activation energies of two phases are $E_a = 0.16\text{ eV}$ for phase I and $E_a = 0.38\text{ eV}$ for phase II.

III.4.2. AC conductivity

The variation of the AC conductivity of the LiCoO_2 , at several temperatures, is illustrated in Fig.10. This variation shows that the AC conductivity remains almost constant at low frequencies and undergoes dispersion at high frequencies, which is a characteristic of ω^s . We also notice the low values of the σ_{ac} conductivity which is of the order of 10^{-4} to $10^{-3}\text{ }\Omega^{-1}\text{cm}^{-1}$ which confirms the conductive character of this material. This result of the electrical conductivity of the LiCoO_2 sample ($10^{-3}\text{ }\Omega^{-1}\text{cm}^{-1}$) is close to the electrical conductivity of the layered LiFeO_2 ($1.9 \times 10^{-3}\text{ }\Omega^{-1}\text{cm}^{-1}$ at 373K) [14]. While, by comparing the electrical conductivity of the LiCoO_2 sample ($10^{-3}\text{ }\Omega^{-1}\text{cm}^{-1}$) with this of the LiFePO_4 ($10^{-9}\text{ }\Omega^{-1}\text{cm}^{-1}$) we note that the LiFePO_4 has a poor electrical conductivity [34]. This result highlights the importance of the crystalline structure in the conductivity mechanism. While the Li^+ ions in LiCoO_2 are free, the Li^+ ion in LiFePO_4 is confined in LiO_6 octahedra and linked to the FeO_6 octahedra and PO_4 tetrahedra [35]. As a result, the electronic – ionic conductivity is affected by the crystalline structure which shows the important role of the free Li^+ ion in the conduction within this material.

The modeling of the experimental conductivity data has been carried out following the law of Jonscher [36]:

$$\sigma_{ac}(\omega) = \sigma_{dc} + A \omega^s \quad (7)$$

Where, σ_{ac} is the alternating current conductivity, σ_{dc} is the direct current conductivity, A is a constant and s the exponent that represents the degree of interaction between the carriers of mobile ions and the environments around them.

The adjustment of the conductivity spectra using the Jonscher equation shows a good agreement between the experimental and theoretical spectra (Fig.10) and makes it possible to determine the σ_{dc} and the exponent s .

The logarithmic variation of the conductivity σ_{dc} as a function of the inverse of the temperature is shown in fig.11. This variation is described by Arrhenius' law:

$$\sigma_{dc} = \sigma_0 \exp(-E_a/K_B T) \quad (8)$$

A change in slope at temperature 342K is marked which is approved by the calorimetric study. The linear adjustment of the slopes allows us to deduce the values of the activation energies associated with the DC conduction of the two phases. The obtained values are equal to 0.11 eV for the first phase and 0.36 eV for the second phase. Noting that, these values are close for those found earlier in the evolution of grain conductivity.

Based on Elliot's theory [37], we can determine the mechanisms of conduction in each phase using the variation of the exponent s which translates the interaction between movable ions and their environments. The variation of the exponent s as a function of temperature is recorded in fig.12. This variation shows that above 330K the exponent s decreases when the temperature increases, which means that the conduction of the material at its own is described by the correlated barrier hopping model (CBH). While below 330K, the exponent s shows an increase as the temperature rises, indicating that small polaron tunnel (NSPT) model.

III.4.3. Electrical modulus

The electrical modulus is used to study the relaxation process in a sample. It was calculated using the following equation:

$$M'' = \int C_0 Z'(\omega) \quad (9)$$

Fig 13 highlights the imaginary parts of the electric modulus of the LiCoO₂ sample. We notice the presence of two relaxations; the first one, at low frequency, associated with the grain boundary effect and the second one, at high frequency, correspond to the grains effect. These two relaxations shift toward high frequencies with the increase of the temperature which indicates that the relaxation process is thermally activated.

The simulation of these spectra was done using Bergman equation [38-39]:

$$M'' = M_{1\max}'' / ((1 - \beta_1) + (\beta_1 / (1 + \beta_1))) [\omega_{1\max} / \omega + (\omega / \omega_{1\max}) \beta_1] + [M_{2\max}'' / ((1 - \beta_2) + (\beta_2 / (1 + \beta_2))) [(\omega_{2\max} / \omega) + (\omega / \omega_{1\max}) \beta_2] \quad (10)$$

Where ω_{\max} is the frequency corresponding to the maximum modulus M'' , β reflects the extent of coupling between the mobile ions in the conduction process and vary in the range (0-1). The logarithmic evolution of the frequency ω_{\max} relative to M_{\max}'' as a function of the inverse of temperature is displayed in fig 14. This evolution follows the Arrhenius law:

$$\omega_{\max} = \omega_0 \exp (-E_a / K_B T) \quad (11)$$

We note the presence of a slope changing at 340 K confirming the phase transition observed by the thermal study. The obtained activation energies are equal to 0.19 eV for phase I and 0.33 eV for phase II.

Conclusion

The LiCoO₂ sample was synthesized using solid state method. The structural refinement, using XRD, proves that this sample crystallize in hexagonal system with R-3m space group. Furthermore, optical investigation reveals that this sample is a semiconductor with width band gap equal to 2.15 eV. Additionally, the study of the refractive index and the extinction coefficient confirms the sample transparency with low absorption loss. Thermal study of the prepared sample was carried out using DSC measurements and indicates a phase transition at 335 K. This phase transition was detected by the ac conductivity analysis which corroborates the CBH model for the first phase and the NSPT model for the second one. The study of the electrical modulus reveals the presence of grain boundary and grain effects.

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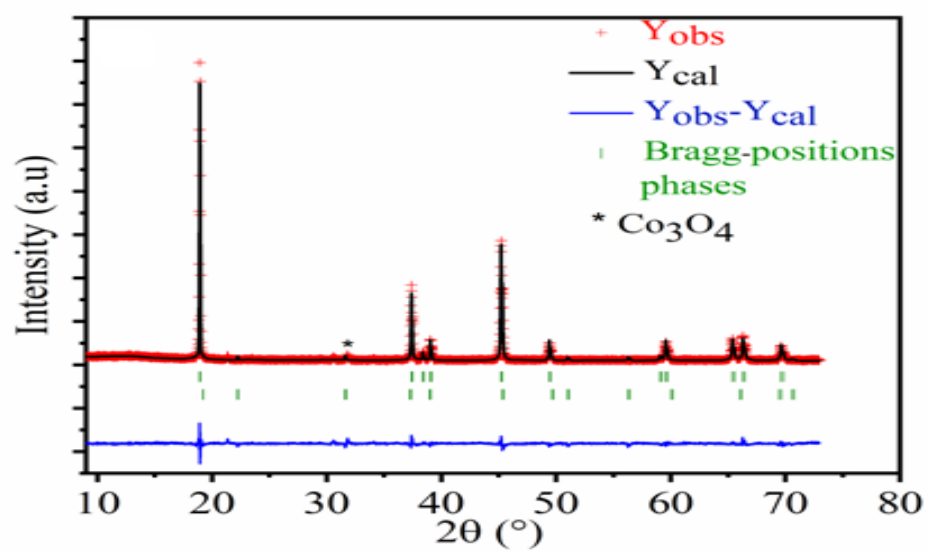


Figure 1: XRD pattern of LiCoO_2

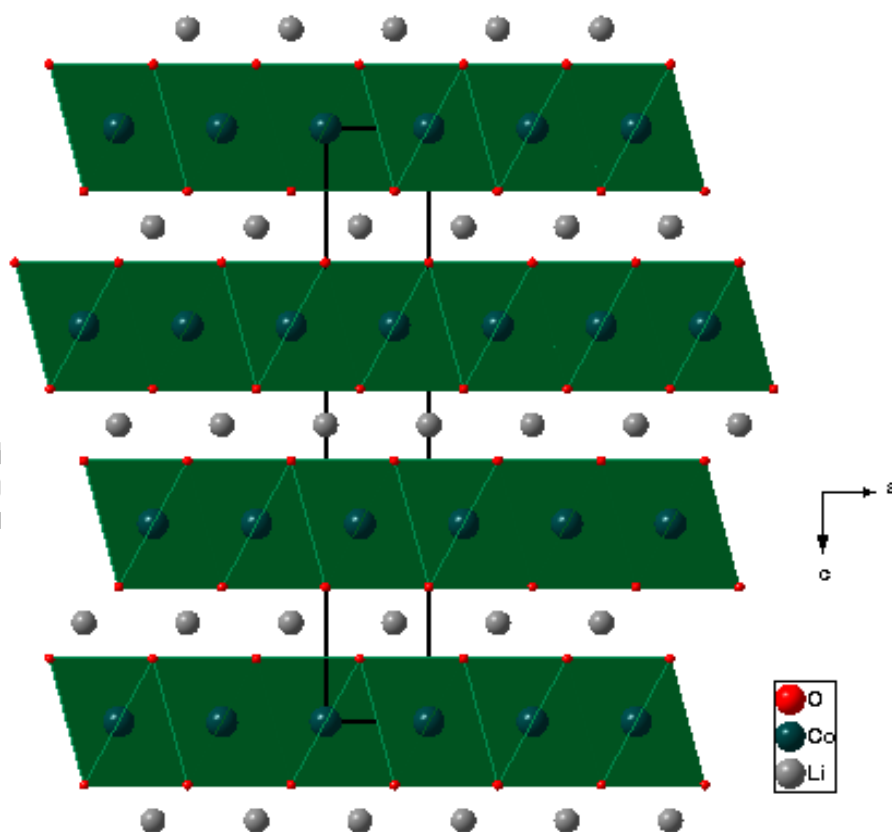


Figure 2 : crystalline structure of LiCoO_2

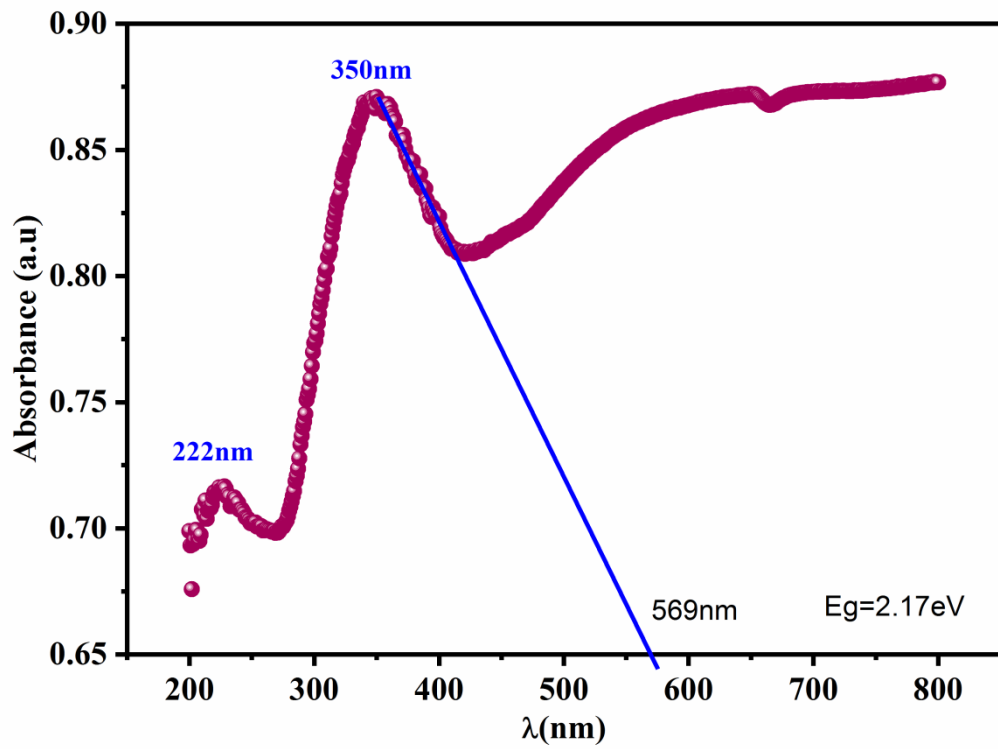


Figure 3 : Uv-visible absorbance spectra and estimation of the gap energy of LiCoO₂.

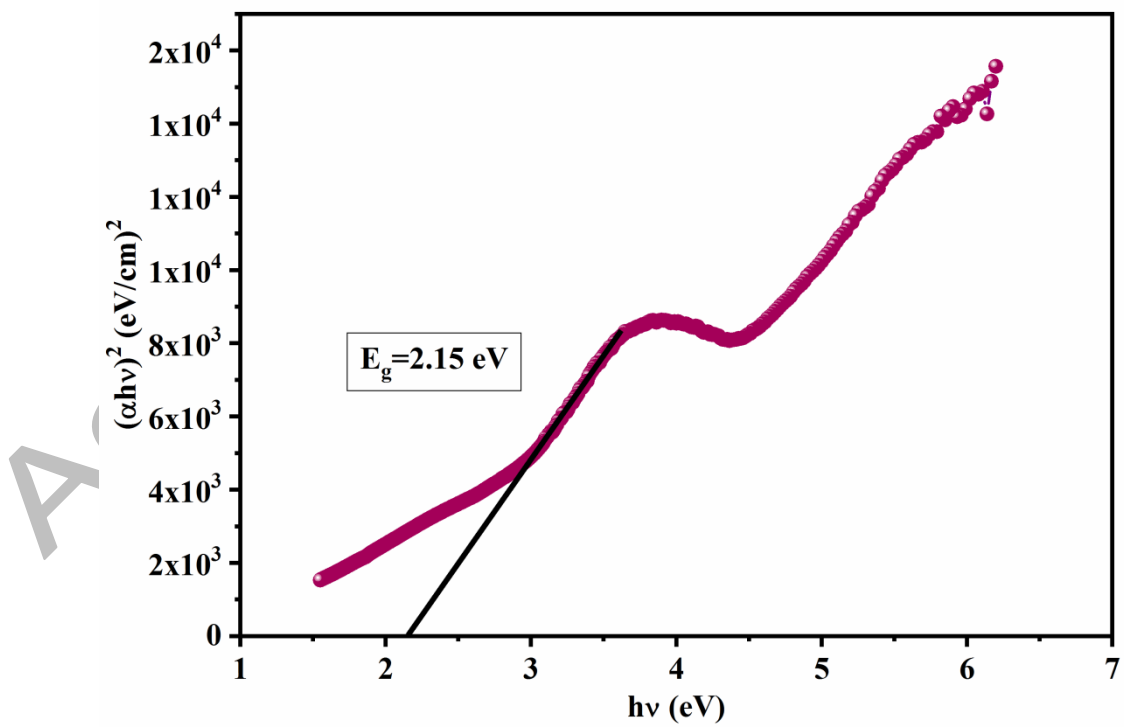


Figure 4 : $(\alpha h\nu)^2$ plot of LiCoO₂

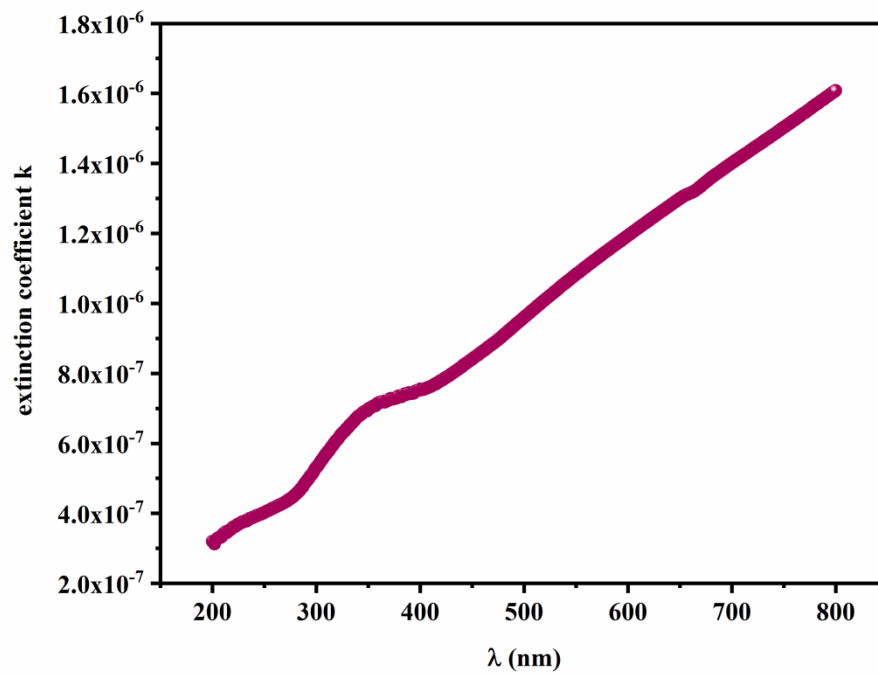


Figure 5 : extinction coefficient of LiCoO_2

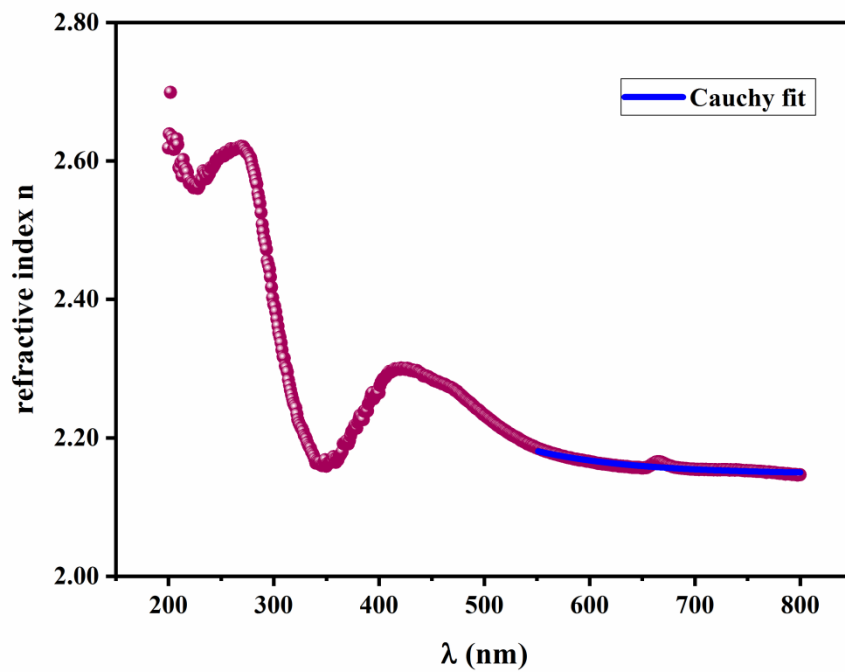
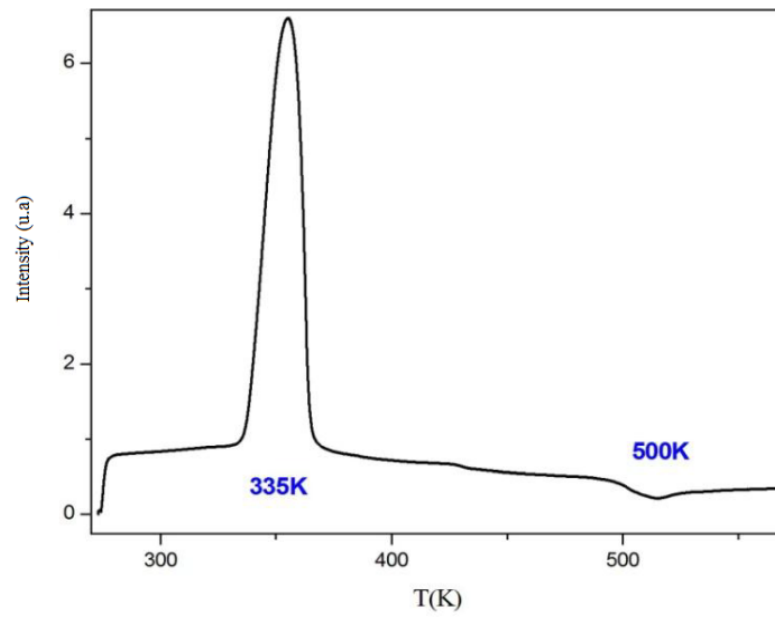
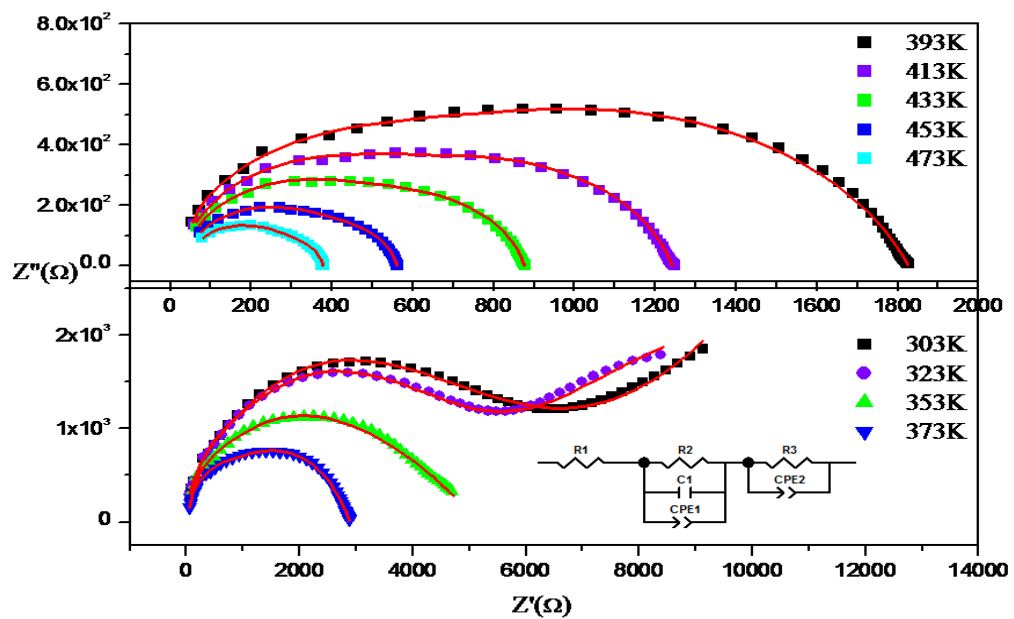
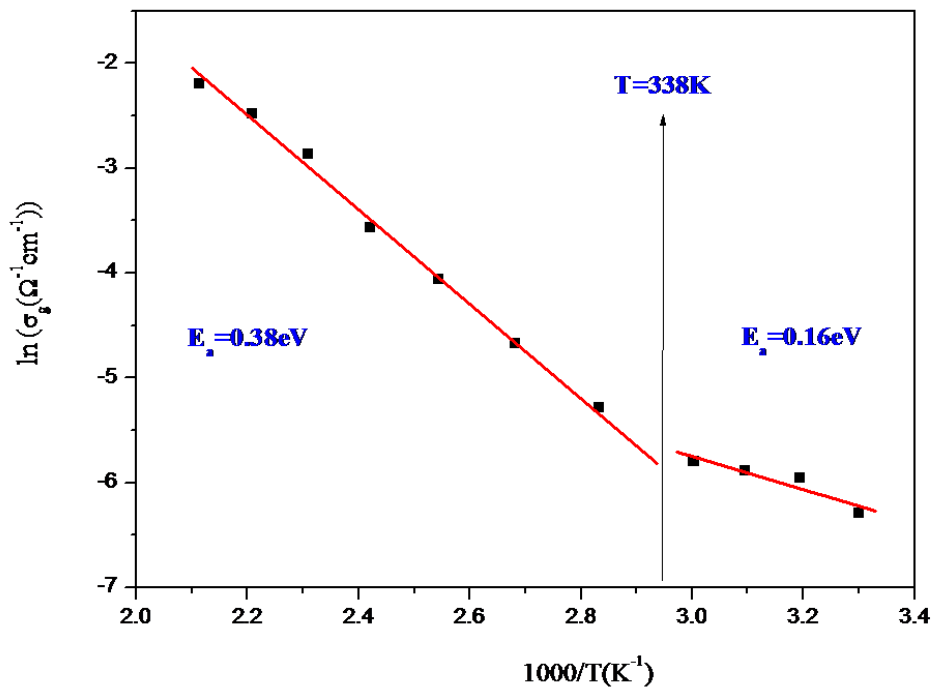
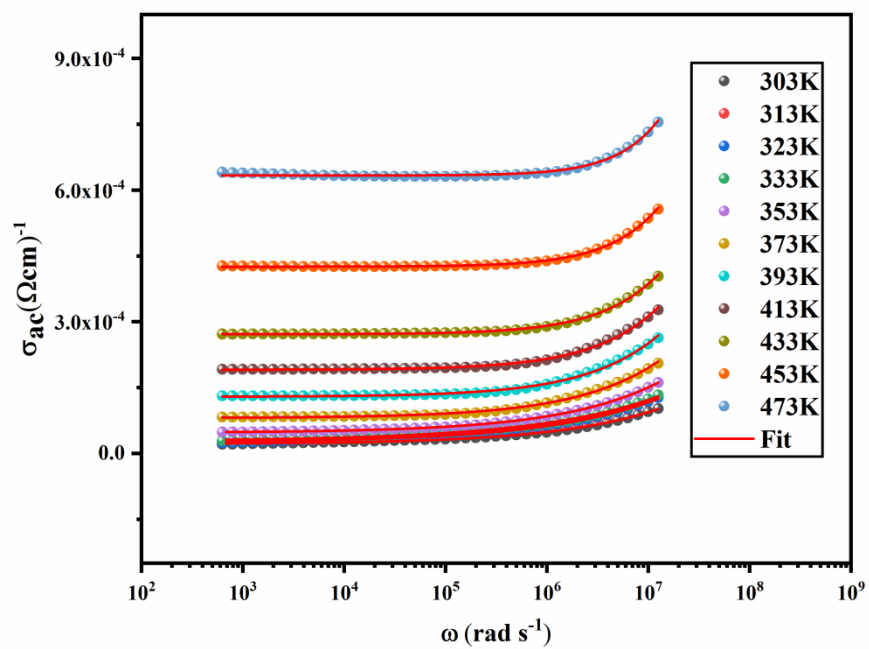
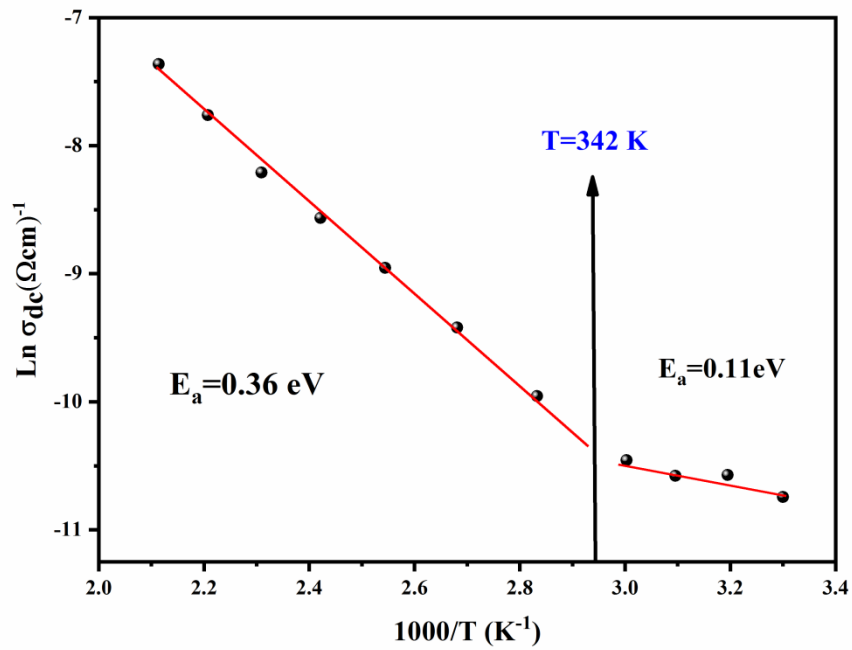
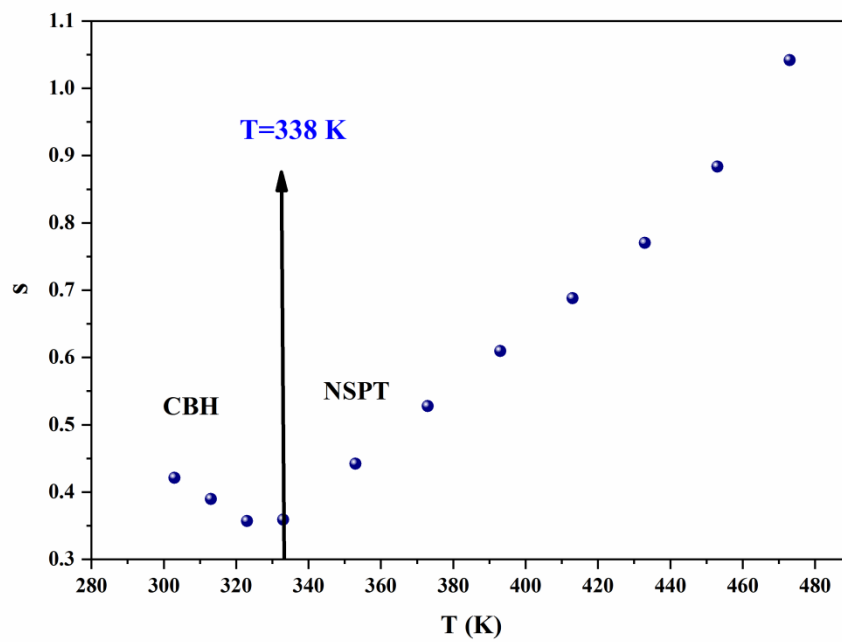


Figure 6 : refractive index of LiCoO_2

Figure 7 : thermogram of LiCoO_2 Figure 8: Nyquist diagram of LiCoO_2

Figure 9: Grain conductivity of LiCoO₂Figure 10 : AC conductivity of LiCoO₂

Figure 11: DC conductivity of LiCoO_2 Figure 12 : Variation of the exponent s of LiCoO_2

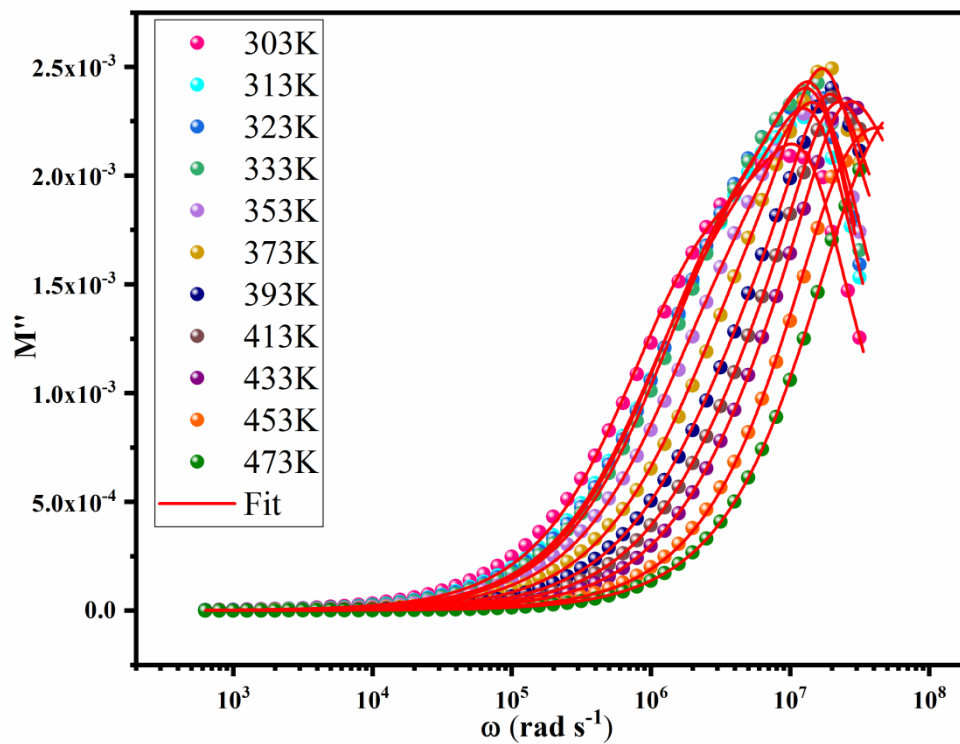


Figure 13 : Evolution of the imaginary part of the electric modulus of LiCoO_2

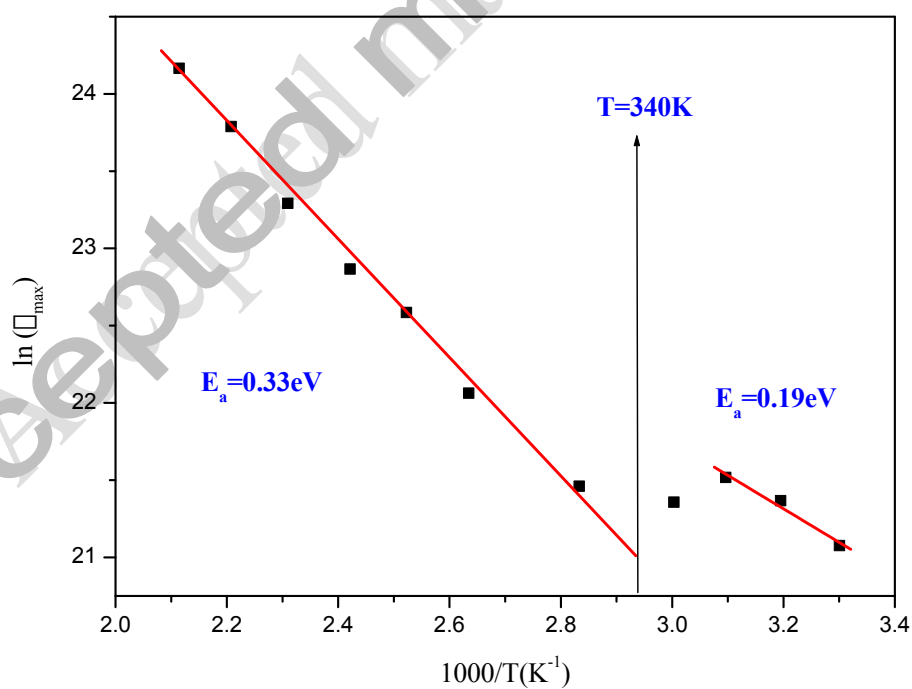


Figure 14: Evolution of τ_{\max} vs. the temperature of LiCoO_2 sample.

Table 1 : unit cell parameters and fit criteria of LiCoO₂

LiCoO ₂	
Crystal system	Hexagonal
Space group	R-3m
Formula units (Å)	a=b= 2.8168(5) c=14.0614(6) $\alpha=\beta=90$ $\gamma=120$
Volume (Å ³)	96.62
Space group	R-3m
R _p (%)	35.7
R _{wp} (%)	29.9
R _{exp} (%)	17.50
χ^2	2.92
R _B (%)	4.221
R _F (%)	2.616

Table 2 : crystalline structure parameters of LiCoO₂

Atom	Wyck.	x	y	z	U
O1	6c	0	0	0.2631(4)	0.0000
Co1	3a	0	0	0	0.0000
Li1	3b	0	0	½	0.0000

Table 3 : Cauchy parameters of LiCoO₂

Paramètres	n ₀	A (μm ⁻²)	B (μm ⁻⁴)
LiCoO ₂	2.15677	0.014825	0.0067139

Table 4 : equivalent circuit parameters of the Nyquist diagrams of LiCoO₂

T(K)	R_g(Ω)	C_g(F)	CPE_g(F)	R_{jg}(Ω)	CPE_{jg}(F)
303	8256	2,0964E-8	1,213E-10	11467	1,3061E-6
313	6484,59	4,6656E-10	1,425E-11	10586	1,2634E-6
323	6285	3,91E-10	2,012E-11	6747	4,7815E-6
333	5181,21	3,3772E-10	4,939E-11	5894	4,4654E-7
353	3242,01	1,132E-9	5,125E-11	4741	2,1191E-7
373	1537,33	1,787E-9	7,524E-11	2362	5,1244E-7
393	829,8	2,059E-9	1,243E-10	1331	5,7821E-7
413	463,7	2,475E-9	1,425E-10	878,6	6,7715E-7
433	226,39	2,928E-9	1,833E-10	575,4	4,6749E-6
453	131,69	3,008E-9	2,128E-10	370,5	8,0858E-6
473	83,92	4,514E-9	2,378E-10	253,8	6,698E-7